

# APPLICATION FORM

## Application Form

Before completing this Application Form you should read the Investor Agreement and the Subscription Details. Once completed please send to your financial intermediary, who will complete their sections and send by post to: Amberside Scientific EIS Fund, c/o Amberside Capital Ltd, 9 Amberside House, Wood Lane, Hemel Hempstead, Hertfordshire HP2 4TP or via an encrypted online application system.

**Applications must be accompanied by a completed appropriateness certificate and relevant Anti-Money Laundering declaration provided by your financial intermediary**

### PERSONAL DETAILS

Title and Full Name:									
Residential Address:									
Correspondence Address:									
Postcode:					Telephone:				
Country of Birth:					Nationality:				
National Insurance Number									
UK Tax District									
UK Tax Reference No:									
Are you a Tax Resident in any country other than the UK (Please Specify)?									
Date of Birth									
E-mail									

**Explanatory note:** The UK government is required, in certain circumstances, to share tax information with the tax authorities in other countries. As a result, financial institutions are legally required to collect certain information about the tax residency of each investor that it deals with. These records will only be disclosed to the relevant tax authorities if, and when, this is required under applicable law.

Your tax residence generally is the country in which you live for more than half of the year. However, there are certain circumstances that would cause a person to become tax resident in a number of countries. The country/countries in which you pay income tax are likely to be your country/countries of tax residence.

If you are a US citizen, or hold a US passport or green card, you will also be considered tax resident in the US, even if you live outside the US.

If you have any concerns regarding your tax residency, please seek advice from a suitably qualified person.

PREVIOUS ADDRESS (if less than three years at the current address)


If you wish to invest in a specific investee company please state the company (/ies) here

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Sometimes we may become aware that a potential Investee Company has a reasonable likelihood of exiting investors within the 3 year holding period and EIS relief may be withdrawn, please tick here if you would NOT want to invest in these companies (note: we would only invest in these companies if we felt there was a good likelihood of a positive return on the gross investment)

## SUBSCRIPTION

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CHEQUE

Please enclose a cheque from your personal account, made payable to Amberside Nominees Ltd. We do not accept cheques from business accounts.

BANK TRANSFER

Please first send in your completed application form and identification. We will send you a Unique Customer Reference which must be used when making the transfer to:

Sort code: 83-91-55  
Account number: 11008059  
Account: Amberside Nominees Ltd

Payments need to come from your personal account.

## INTRODUCER

Name of Introducer:

Name of Firm:

Address:

Postcode:

Telephone:

## CONFIRMATION

I wish to invest the Subscription shown above in the Amberside Scientific EIS Fund on the terms set out in the Investor Agreement included in this Information Memorandum (which Investor Agreement I have received and read) (the "Investor Agreement"). I recognise that on acceptance of this Application Form by the Fund Manager that the Investor Agreement will be deemed to have been entered into as a binding agreement between me, the other Investors and the Fund Manager (all as defined therein).

### I confirm that:

1. I wish/do not wish\* to seek EIS Relief and/or CGT Deferral Relief; (\* delete as appropriate)
2. I am applying on my own behalf and I am 18 years of age or over;
3. I will notify the Manager of any Investment in any company with which I am connected within sections 163 to 171 of the Income Tax Act 2007 (as amended), or I become connected or receive value from such a company;

In respect of my Amberside Scientific EIS Fund account I authorise the intermediary overleaf (if applicable) to request information on my account and to receive copies of contract notes confirming the investments made.

Unless the context otherwise requires, words and expressions defined in the Investor Agreement shall have the same meaning herein.

**I undertake to notify you immediately if any of the above mentioned changes, or there are other relevant circumstances of which you should be aware in relation to managing the Fund on my behalf.**

Print Name:

Signed:

Dated:

I would like to opt in to receive marketing communications from Amberside Capital Ltd.